

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005)		ATTORNEY'S DOCKET NUMBER 04USFP1024-K.M.
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/577769</b> Not Yet Assigned
INTERNATIONAL APPLICATION NO. PCT/JP2004/016200	INTERNATIONAL FILING DATE October 26, 2004	PRIORITY DATE CLAIMED October 30, 2003
TITLE OF INVENTION <b>IMAGE DECODING APPARATUS AND IMAGE DECODING METHOD</b>		
APPLICANT(S) FOR DO/EO/US <b>Yoichi KATAYAMA</b>		
ASSIGNEE NAME: <b>NEC ELECTRONICS CORPORATION</b> ASSIGNEE RESIDENCE: <b>Kawasaki, Kanagawa, JAPAN</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>		
Items 13 to 23 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input checked="" type="checkbox"/> A substitute specification.</li> <li>18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Express Mail Label No.</li> </ol>		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
<b>10/577769</b> <i>Not Yet Assigned</i>	<b>PCT/JP2004/016200</b>			<b>04USFP1024-K.M.</b>	
23. Other items or information:					
Form PCT/IB/304 Form PCT/IB/308 Form PCT/ISA/237 Formal Drawings (Figures 1-16)		Substitute Specification (marked up and clean copy) International Publication No. WO 2005/043919 PCT Article 19 Amendment filed on May 2, 2005 Submission of Replacement Drawings (5 Sheets)			
The following fees have been submitted:			CALCULATIONS PTO USE		
24. <input checked="" type="checkbox"/> Basic national fee .....			\$300	\$	\$300.00
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article			\$0	\$	\$200.00
All other situations.....			\$200		
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)...			\$0	\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority.....			\$100	\$	\$500.00
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB.....			\$400		
All other situations.....			\$500		
<b>TOTAL OF 24, 25 and 26 =</b>				\$	\$1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(l)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
19 - 100 =	0 /50 =	0	x \$250.00	\$	\$0.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	23 - 20 =	3	x \$50.00	\$	\$150.00
Independent claims	4 - 3 =	1	x \$200.00	\$	\$200.00
MULTIPLE DEPENDENT CLAIMS (if applicable)			<input type="checkbox"/> + \$360.00	\$	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	\$1,350.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	\$0.00
<b>SUBTOTAL =</b>				\$	\$1,350.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	\$0.00
<b>TOTAL NATIONAL FEE =</b>				\$	\$1,350.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property			+ \$40.00		
<b>TOTAL FEES ENCLOSED =</b>				\$	\$1,390.00
			Amount to be	\$	
			Amount to be	\$	

10/577769

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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IAP17 REC'D PCT/PTO 28 APR 2006

a.  A check in the amount of \$ \$1,390.00 to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

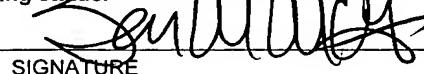
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0481. A duplicate copy of this sheet is enclosed.

d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Sean M. McGinn, Esq.  
Customer No.: 21254  
McGinn Intellectual Property Law Group, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, Virginia, USA 22182-3817

  
SIGNATURE

Sean M. McGinn, Esq.

NAME

34,386

REGISTRATION NUMBER

April 28, 2006

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
Yoichi KATAYAMA

10/577769

IAP17 Rec'd PCT/PTO 28 APR 2006

Serial No.: Not Yet Assigned  
Filed: Concurrently Herewith  
For: IMAGE DECODING APPARATUS AND IMAGE DECODING  
METHOD

Group Art Unit: Not Yet Assigned  
Examiner: Not Yet Assigned

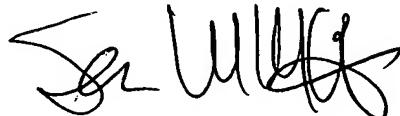
Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**SUBMISSION OF SUBSTITUTE SPECIFICATION**

Sir:

This Submission of Substitute Specification includes clean and marked up copies of the Specification which incorporate Article 19 amendments made during the International phase, and amendments made to delete the multiple dependency of claims (and thereby avoid the multiple dependency claim fee) and to place the application into condition for local practice. No new matter has been added. Acknowledgment of receipt is respectfully requested.

Respectfully Submitted,



Sean M. McGinn  
Reg. No. 34,386

Date: 4/28/06

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Customer No. 21254

Docket No. 04USFP1024-K.M. KUD.145

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Yoichi KATAYAMA

Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith Examiner: Not Yet Assigned

For: IMAGE DECODING APPARATUS AND IMAGE DECODING METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF REPLACEMENT FORMAL DRAWINGS**

Sir:

Submitted herewith are five (5) sheets of formal drawings comprising figures 1-2, 4-5, and 7A, for the above-identified patent application which incorporate amendments made during the International phase and which incorporate terminology from the substitute specification to place the application into condition for local practice. No new matter has been added.

Acknowledgment of receipt is respectfully requested. Please substitute these formal drawings for figures 1-2, 4-5, and 7A for the drawings which are concurrently filed with the application.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 4/28/06  
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